

ITN

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: June 17, 2005 Name: Alejandro J. Fernandez Signature: [Signature]

BRINKS  
HOFFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Matthew P. Carter, et al.

Appln. No.: 10/728,589

Examiner: N/A

Filed: December 4, 2003

Art Unit: 3731

For: BILIARY STENT INTRODUCER SYSTEM

Attorney Docket No: 10000/218

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Request To Correct Inventorship; Statement Of Lack Of Deceptive Intent Pursuant to 37 CFR § 1.48(a)(2); Declaration For Patent Application; Assent Of Assignee To Correction And/Or Addition Of Inventors; Patent Application Statement Under 37 CFR 3.73(b); Recordal Of Assignment; Assignment.
- ☒ Return Receipt Postcard.

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:

- ☒ Checks in the amount of \$130.00 and \$40.00 are enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed) or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

6.17.05  
Alejandro J. Fernandez (Reg. No. 52,886)

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
on June 17, 2005

Date of Deposit

Alejandro J. Fernandez

Name of applicant, assignee or  
Registered Representative

Signature

Date of Signature

Our Case No. 10000-218

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Matthew P. Carter et al.

Serial No. 10/728,589

Filing Date: December 4, 2003

For: BILIARY STENT INTRODUCER  
SYSTEM

Examiner: N/A

Group Art Unit No. 3731

**REQUEST TO CORRECT INVENTORSHIP**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request the addition of Douglas A. Howell as an inventor in the above-identified application pursuant to 37 CFR 1.48(a).

Respectfully submitted,

6.17.05  
Date

Alejandro J. Fernandez  
(Reg. No. 52,886)  
Attorney for Applicant(s)



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22313-1450

on 6-17-05

Date of Deposit

~~Carmen Mates Michael~~ ALEJANDRO J. FERNANDEZ

Name of applicant, assignee or  
Registered Representative

Signature

6.17.05

Date of Signature

PATENT

Our Case No. 10000-218

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re )  
)  
U.S. APPLICATION )  
SERIAL NO. 10/728,589 )  
) GROUP ART UNIT: 3731  
FILED: December 4, 2003 )  
)  
ENTITLED: BILIARY STENT INTRODUCER )  
SYSTEM )

**STATEMENT OF LACK OF DECEPTIVE INTENT  
PURSUANT TO 37 CFR § 1.48(a)(2)**

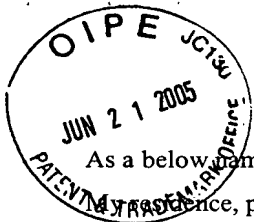
The Commissioner for Patents  
Washington, DC 20231

Sir:

I, Douglas A. Howell, hereby declare that the error in inventorship in the above-identified  
application occurred without deceptive intention on my part.

Date: 12/17/04

Douglas A. Howell

Case No. 10000/218**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Biliary Stent Introducer System, the specification of which:

- ☐ is attached hereto.  
☒ was filed on December 4, 2003 as Application Serial No. 10/728,589.  
☐ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)Priority Claimed

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status-patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature

Full name of sole or first inventor

Residence

Citizenship

Post Office Address

Douglas A. HowellCape Elizabeth, MEUSA1 Lydon Lane, Cape Elizabeth, ME 04107

Date:

12/17/04

BRINKS HOFER GILSON &amp; LIONE

P.O. Box 10395

Chicago, IL 60610

(312) 321-4200

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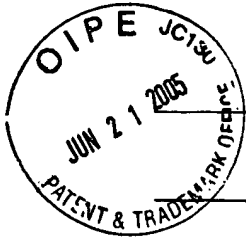
Commissioner for Patents  
P.O.Box 1450  
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on 6.17.05

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Alejandro J. Fernandez  
Name of applicant, assignee or  
Registered Representative

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Date of Signature



Our Case No. 10000/218

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Matthew P. Carter et al.

Serial No. 10/728,589

Filing Date: December 4, 2003

For: BILIARY STENT INTRODUCER  
SYSTEM

Examiner: N/A

Group Art Unit No. 3731

### ASSENT OF ASSIGNEE TO CORRECTION AND/OR ADDITION OF INVENTORS

1. Assignee Name and Address: Wilson-Cook Medical Incorporated  
4900 Bethania Station Road  
Winston-Salem, North Carolina 27105  
U.S.A.

2. Assignment: Recorded on: December 4, 2003  
Reel: 014777  
Frames: 0741

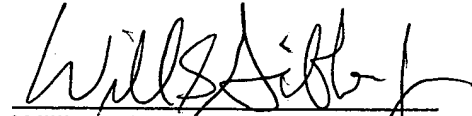
3. The assignee hereby assents to the correction of inventorship filed herewith.

4. A Statement under 37 C.F.R. § 3.73(b) is attached.
5. The person signing this document is authorized to act on behalf of the assignee.

Respectfully submitted,

Dated: \_\_\_\_\_

4-14-05



William S. Gibbons, Jr., Ph.D.  
President, Wilson-Cook Medical  
Incorporated

## PATENT APPLICATION STATEMENT UNDER 37 CFR 3.73(b)

Inventor(s): Matthew P. Carter et al.  
 Serial No.: 10/728,589  
 Case No.: 10000/218  
 Filing Date: December 4, 2003  
 Assignee: Wilson-Cook Medical Incorporated, a corporation organized and existing under the laws of the State of North Carolina

Wilson-Cook Medical Incorporated is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ a co-assignee of an entire and undivided interest;

in the patent application identified above by virtue of either:

- ☒ inventor assignment(s) recorded in the Patent and Trademark Office at Reel 014777, Frame 0741 or for which a copy thereof is attached;

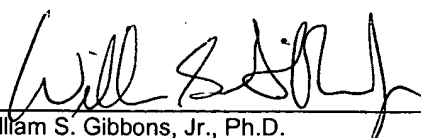
OR

- ☐ a chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- ☐ Citations to additional recorded documents in the chain of title or copies of additional assignments or other pertinent documents are attached.

4-14-05  
 Date

  
 William S. Gibbons, Jr., Ph.D.  
 President, Wilson-Cook Medical Incorporated  
 Who is duly authorized to sign of behalf of Wilson-Cook Medical Incorporated

Signed at: Wilson-Cook Medical  
4900 Bethania Station Rd. on this 14th day of April, 2005.  
Winston-Salem, NC  
27105